

11-23-01

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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# NEW UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under  
37 CFR 1.53(b))

Attorney Docket Number	19538-06497
First Named Inventor	David Kowal
Title	Customer Evaluation And A Resource Management System
Express Mail Label No.	EL566204691US

## APPLICATION ELEMENTS

- ☒ Fee Transmittal Form (in duplicate)
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27
- ☒ Specification Total Pages 75  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference(s) to Related Case(s)
  - Statement Regarding Fed sponsored R & D
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawing(s)
  - Detailed Description
  - Claim or Claims
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 16
- Oath or Declaration
  - ☒ New Declaration Total Pages 2
    - ☐ Executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Power of Attorney or Authorization of Agent
- ☐ 37 CFR 3.73(b) Statement
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement & PTO-1449  
☐ Copies of IDS Citation(s)
- ☒ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent
- ☒ Return Postcard
- ☐
- ☐
- ☐

## ADDRESS TO:

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09/658,366

Prior application information: Examiner: Not yet known Group/Art Unit: 3713

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



☒ Customer Number and Bar Code Label

00758

Name (Print/Type)	Laura A. Majerus	Registration No. (Attorney/Agent)	33,417
Signature	<i>Laura Majerus</i>	Date	11/20/01

19538/06497/DOCS/1225058.1

11/20/01  
1031 U.S. PTO

PTO/SB/17 (10-01)(modified)  
OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO(modified) v. 10/2001		U.S. Department of Commerce Patent and Trademark Office		<b>Complete if Known</b>	
<b>FEE TRANSMITTAL</b>				Application Number	Not yet known
				Filing Date	November 20, 2001
				First Named Inventor	David Kowal
				Group Art Unit	Not yet known
				Examiner Name	Not yet known
<b>TOTAL AMOUNT OF PAYMENT</b>				Attorney Docket Number	19538-06497
Subtotal (1) + Subtotal (2) + Subtotal (3) =				(\$)	

METHOD OF PAYMENT		FEE CALCULATION (continued)			
<b>1. The Commissioner is hereby authorized to:</b>		<b>3. ADDITIONAL FEES</b>			
<input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.					
<input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account <sup>†</sup>					
<input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27					
<b>A Duplicate Copy of this authorization is attached</b>					
<b>2. <input checked="" type="checkbox"/> Payment Enclosed:</b>					
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other					
<b>FEE CALCULATION</b> (fees effective 10/01/2001)					
<b>1. FILING FEE</b>					
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due		
101/\$740	201/\$370	Utility Filing	740		
106/\$330	206/\$165	Design Filing			
108/\$740	208/\$370	Reissue			
114/\$160	214/\$80	Provisional Filing			
<b>SUBTOTAL (1)</b>		<b>(\$) 740.00</b>			
<b>2. CLAIMS</b>					
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description			
103/\$18	203/\$9	Claims in excess of 20			
102/\$84	202/\$42	Independent claims in excess of 3			
104/\$280	204/\$140	Multiple dependent claim			
109/\$84	209/\$42	Reissue independent claims over original patent			
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent			
		<b>Other fee (specify):</b>			
		<b>Other fee (specify):</b>			
		<b>SUBTOTAL (3)</b> (\$) 0			

(Col. 1)		(Col. 2)		(Col. 3)			
For	No. of Existing Claims	Highest No. Previously Paid For		Extra**	Fee	Fee Due	
TOTAL	17	20 or 0	=		x	=	
INDEP	3	3 or 0	=		x	=	
[ ] First presentation of multiple dependent claim							

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

**SUBTOTAL (2)** (\$)

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or Printed Name	Laura A. Majerus	Reg. Number	33,417
Signature	<i>Laura Majerus</i>	Date	11/20/01

19538/06497/DOCS/1225051.1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor     David Kowal

Title     Customer Evaluation And A Resource  
Management System

Atty Docket Number     19538-06497

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

11/20/01  
Date

Laura Majerus  
Signature

Laura A. Majerus

Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

19538/06497/DOCS/1225110.1